

## ACCOUNT OPENING FOR NRI & FOREIGN NATIONAL

| Corporate      | с күс               |  |
|----------------|---------------------|--|
| DP-NSDL / CDSL | KRA                 |  |
|                | DP CLIENT ID        |  |
|                | TRADING CLIENT CODE |  |

# Explore opportunities and be part of India's incredible Journey



## Experience Excellence with Farsight: Your Sherpa to Invest in India

This opportunity to invest in India is to embark upon a journey of financial prosperity with Farsight Group, a distinguished and unwavering financial powerhouse committed to pinnacle of investment services. Established in 1995, it stands proudly as a signature Broking House, with memberships in the NSE, BSE, MCX and DP of NSDL & CDSL.

## Why India

Political stability, long term vision duly supported with Big ticket reforms and world scale infrastructure, demographic dividends have put India on the map of world's fastest growing economies. NIFTY and the Sensex are amongst the best performing indices truly capturing the resilience, depth and growing power of Indian Investors. This has culminated into higher GDP growth, stronger FDI and FII investment and the portfolio of any investor is incomplete without exposure on India.

## Why Farsight?

A Legacy of Trust: With three decades of dedicated commitment, Farsight boasts of a perfect track record—ZERO client grievances. Our thrust to customer satisfaction is unparalleled. Experience of our customer's is excelled further by Kunjee – the Trading App, Insta Fund Transfer and dedicated e-Farsight portal.

## Comprehensive Services:

- EQUITIES
- DERIVATIVES
- COMMODITIES
- IPO
- BONDS
- MUTUAL FUNDS



As Per SEBI Circular No. CIR/MIRSD/13/2013 Dated Dec 26, 2013

## **ACCOUNT OPENING KIT**

|        |   | INDEX OF DOCUMENTS*   |  |
|--------|---|---|--|
| S. No. | Name of Document                                  | Brief Significance of the Document  | Page No.   |
|        | MANI  | DATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES  |  |
| 1.     | For NRI   | Informative Note - NRI  | 0  |
|        | For Foreign National                              | Informative Note and Checklist - Foreign National   |  |
| 2.     | Account Opening                                   | A. CKYC form - Document captures the basic information about the constituent and instruction/checklist and FATCA and CRS Information and declaration.   | 1 to 8   |
| ۷.     | Form  | B. Document captures the additional information about the constituent relevant to trading account and instruction/check LIST  | 1100   |
| 3.     | Rights and<br>Obligations (Trading<br>& DP)       | Document stating the Rights & Obligations of stock broker/trading member sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading) | As per<br>separate<br>booklet (As per<br>format<br>annexure 4, 5<br>& 6) |
| 4.     | Risk Disclosure<br>Document (RDD)                 | Document detailing risks associated with dealing in the securities market.  | as per SEBI<br>Circular: CIR/<br>MIRSD/64/201<br>6 dt. 12-07-<br>2016    |
| 5.     | Guidance note                                     | Document detailing do's and don'ts fortrading on exchange, for the education of the investors.  | also available<br>on website and<br>on demand                            |
| 6.     | Policies and<br>Procedures                        | Document describing significant policies and procedures of the stock broker   | 9 to 10  |
| 7.     | Tariff sheet                                      | Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) and depository services   | 11 to 12   |
|        | VOL   | UNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER  |  |
| 8.     | Letter of Authority                               | To enable the trading member to act upon the clauses mentioned in the letter  | 13   |
| 9.     | FATCA - CRS<br>Declaration, Terms<br>& Conditions | As per rule 114F to 114 H of IT Rule 1962, it is mandatory to see additional information and share it wherever required by law.   | 14 to 15   |
| 10.    | Demat Debit and<br>Pledge Instruction             | Instruction for Automatic Pay-in  | 16   |



#### **INFORMATIVE NOTE - NRI CLIENTS**

#### Presently, Government is allowing four types of NRI accounts as under:

- NRE PIS For buying and selling sharesin Indian Stock Market under PIS on repatriable basis.
- NRE NON PIS Investment into Mutual Funds, ETF or IPO underNon PIS on non-repatriable basis.
- NRO PIS For buying and selling shares in Indian Stock Market under PIS on non-repatriable basis.
- NRO NON PIS Investment into Mutual Funds, ETF or IPO under Non PIS on non-repatriable basis and trading in Futures & Options.

#### **How to Open Account:**

- · Fill up the account opening.
- · KYC documents required for account opening.
- Photograph
- Visa
- Bank Proof (PIS letter from the Bank where the PIS bank account is maintained)
- Details of NRI / NRO bank account with Cancelled cheque and bank statement not more than 3 months old.
- · Passport relevant pages of passport
- · PAN Card for name/date of birth/father's name / signature
- Address Proof Address proof for both Indian and Overseas address is mandatory.

| INDIAN ADDRESS                          | OVERSEAS ADDRESS                                |
|---|---|
| Passport with Indian Address            | Passport with overseas address                  |
| Voter ID card                           | Government issued ID Card with overseas address |
| Driving License with Indian<br>Address  | Government issued ID Card with overseas address |
| Aadhaar (with Masking & Consent Letter) | Utility bill not more than 3 months old         |

- In case you are in India, you may visit any of our branches with the above mentioned documents for opening your account.
- In case you are outside India, all KYC documents should be self-attested by you and also attested by any one of the following:
- (a.) Authorized officials of overseas branches of Scheduled Commercial Banks registered in India,
- (b.) Notary Public, Court Magistrate, Judge.
- (c.) Indian Embassy /Consulate General in the country where the client resides

#### Informative Note - Foreign National

| In additions t | o the above details, fo | llowing fur | ther details are required. |
|----------------|-------------------------|-------------|----------------------------|
| a.) Account I  | Holding TypeDR          | FN          | FN - Promoter              |
| b.) Category   | of Investor -           |             |                            |
| FI             | FII                     | NRI         | <b>Body Corporate</b>      |
| FPI            | AIS                     | QFI         | Foreign National           |



### **FARSIGHT SECURITIES LIMITED**

| Know Your Customer (KYC) Application Form Foreign National Individual  |               |
|--|---------------|
| For office use only (To be filled by company officials only)   |               |
| Application Type*  | Ш             |
| Fields marked with* are mandatory fields. Account Type  Normal Simplified (for low risk customers)   | Small         |
| DP ID:- UCC:-  | J.,,,,,,,,    |
| 1. PERSONAL DETAILS  |               |
| Name* Prefix   |               |
| 7.15.11  |               |
| (Same as ID proof)  Maiden Name (If any*)  |               |
| Father / Spouse Name*  |               |
| rather / Spouse Name   | -             |
| Mother Name*   |               |
|  |               |
| D D M M Y Y Y Y  |               |
| Date of Birth*   |               |
| M-Male F- Female T-Transgender   |               |
| Gender*  |               |
| Marital Status*  |               |
| Citizenship* Resident Individual Non Resident Indian   |               |
| Residential Status* Foreign National Person of Indian Origin   |               |
| Private Sector Public Sector Government Service  |               |
| Occupation Type* Professional Self Employed Retired passport size photogr  | iph           |
| (Please Tick any one and give brief ☐ Housewife ☐ Student ☐ B-Business ☐ (1) (Size 3.5 cm X 4.5 cm x 9.5 cm X 4.5 cm X |               |
| details) Agriculturist Not Categorized (brief details)   |               |
| 2. PROOF OF IDENTITY (Pol)*  |               |
| (Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)  |               |
| A- Passport Number Passport Expiry Date D D M M Y Y  | YY            |
| ☐ B- Voter ID Card   |               |
| C- PAN Card  |               |
| D- Driving Licence Exp. Date D D M M Y Y   | Y Y           |
| E- UID (Aadhaar)   |               |
| F- NREGA Job Card  |               |
| Z- Others (any document notified   |               |
| by the central government)   | $\overline{}$ |
| S- Simplified Measures Account - Document Type code Identification Number  |               |
| 3. PROOF OF ADDRESS (PoA)  |               |
| 3.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS  (Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)  |               |
|  | *******       |
|  |               |
| Proof of Address* Voter Identity Card Driving Licence Passport NREGA Job Card UID (Aa  | dhaar)        |
| Others   |               |
| Addition   |               |
| City / Town / Village* District* Pin / Post Code*  | $\neg \neg$   |
| Countries Countries  | 2166          |
| code" as per to  | 3 3 10 6      |
| 3.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS *   |               |
| Same as Current / Permanent / Overseas Address details Address*  |               |
|  |               |
| City / Town / Village* District* Pin / Post Code*  |               |
| State / U.T * Code* Country* Country Code* as per IS   | 0 3166        |



| 4. CONTACT DE                       | TA           | ILS (   | AII  | comr    | nunic   | ation  | s wil    | l b   | e se      | ent or  | pro    | vide     | d Mc    | bile  | n        | 0./       | Em       | ai     | I-ID        | )      |                |            |          |        |      |         |
|-------------------------------------|--------------|---|------|---------|---------|--------|----------|-------|-----------|---------|--------|----------|---------|-------|----------|-----------|----------|--------|-------------|--------|----------------|------------|----------|--------|------|---------|
| Tel.(Off/Res)                       |              |   |      | ]-[     |         |        |          | I     | I         |         | Fa     |          |         |       | I        | I         | T        | I      | ]-          |        | $\perp$        |            |          | $\Box$ |      | $\perp$ |
| Mobile                              |              |   | -    |         |         |        |          |       |           |         | M      | obile (  | 2)      |       |          |           | ]-       |        |             |        |                |            |          |        |      |         |
| Email ID                            |              |   | T    |         | TT      | TT     |          | Ī     |           |         |        |          | ÌТ      |       | Ť        |           | T        | Ī      |             | Ť      | T              |            |          | T      |      | T       |
|                                     |              |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| 5. FATCA / CRS                      | Inf          | orma  | tior | Do :    | ou sa   | tisfy  | any      | of    | the       | crite   | ria n  | nentic   | ned     | bel   | ow       | r:-       |          |        |             |        |                |            |          | K      |      |         |
| a. Citizen of an                    | у со         | untry   | oth  | er tha  | n India | (dual/ | multip   | ole   | ) [inc    | luding  | ggre   | en car   | d]      |       |          |           |          |        |             |        | Ye             | S          |          | N      | 10   |         |
| b. Country of bi<br>c. Tax resident | irth         | is any  | cou  | intry o | ther th | an Ind | dia      |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| d. POA or a ma                      | nda          | te hol  | der  | who h   | as an a | addres | s out    | sid   | le Inc    | dia     |        |          |         |       |          |           |          |        |             |        |                |            | - 7      |        |      |         |
| e. Address or te                    |              |   |      |         |         |        |          | 12.00 | 1000000   |         |        |          | iowieso |       | -2000    | 13.211-11 |          |        |             |        |                |            | 9        |        |      |         |
| If answer to any                    |              |   |      | 0.00    |         |        | ES or    | in    | case      |         |        |          | 17.     |       |          |           |          |        |             |        |                |            |          |        |      |         |
| Country# T                          | ax           | ident   | ITIC | ation   | Numb    | er     | -        |       |           | Ide     | entifi | cation   | Гур     | e (I  | N        | or o      | ther     | ٠,     | plea        | ise    | spe            | city       | )        |        |      |         |
|                                     | er cons      | 0490000   | 100  | 100     | V. V.   | 5-265  | neo.     |       | -28/00/20 | 20      |        | 0.00     | t5560   |       | =2-1-1/4 |           |          |        |             |        |                |            |          |        |      |         |
| # to also includ                    |              | of the first of the same  |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| * In case Tax Io                    |              |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             | lat    | o:lo           |            |          |        |      |         |
| 6. DETAILS OF F                     |              |   |      |         |         |        |          |       |           |         | late   | u pers   | sons    | , pie | as       | e i       | III D    | 910    | ) W C       | iet    | alls           | )          |          |        |      |         |
| KYC Number of R                     |              |   |      | -       |         |        | Tiela    |       | uic       | 13011   |        | TT       | -       |       | $\neg$   | -         |          |        |             |        |                |            |          |        |      |         |
| Related Person Ty                   |              |   |      |         |         |        | As       | sig   | gnee      |         | Aut    | horize   | ed R    | epre  | ser      | ntat      | ive      |        |             |        |                |            |          |        |      |         |
| Name                                |              |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| (If KYC no                          | umb          | oer a   | nd r | name    | are p   | rovid  | ed, b    | eld   | ow d      | letails | are    | optio    | nal)    |       |          |           |          |        |             |        |                |            |          |        |      |         |
| Proof of Identity of                |              | -   | Pers | on      |         |        |          |       |           |         |        |          |         |       |          | _         | _        |        |             |        | T              | Total      | - 1      |        | o To | . 1     |
| Identity Proof Subi                 | mitt         | ed _  |      |         |         |        | Nu       | m     | ber       |         |        |          |         | 100   |          | E         | Ехр.     | D      | ate         | D      | D              | M          | M        | Y      | Y Y  | Y       |
| Other (any docume                   |              |   |      |         |         |        |          | T     |           |         |        |          |         | - 90  |          |           | atior    |        | o principal |        |                |            |          |        |      |         |
| Simplified Measure                  |              |   | nt-D | ocun    | ent Ty  | pe Co  | ode      |       |           |         |        |          |         | Id    | en       | tific     | atior    | ı N    | 0.          |        |                |            |          |        |      |         |
| 7. REMARKS                          | Automotion ( | CONTRACTOR OF THE PARTY OF THE | TIC  |         |         |        |          | -     |           |         |        |          |         |       |          |           |          | -      |             |        |                |            |          |        |      | 3000    |
| I hereby declare                    |              |   |      |         | urnish  | ed a   | bove     | ar    | re tr     | ue an   | d co   | orrect   | to t    | he b  | es       | t o       | f my     | / k    | nov         | /led   | dge            | and        | d be     | lie    | f an | d I     |
| undertake to info                   | rm           | you   | of a | any c   | hange   | s the  | erein,   | in    | nme       | diate   | ly. li | n case   | e an    | y of  | th       | e a       | bov      | е      |             |        |                |            |          |        |      |         |
| false or untrue or                  | mis          | slead   | ing  | or m    | srepre  | esent  | ing, I   | ar    | m av      | vare t  | hat    | I may    | be h    | ield  | lia      | ble       | for      | it.    |             |        |                |            |          |        |      |         |
| I hereby consent                    | to           | recei   | vin  | ginfo   | rmati   | on fro | om C     | en    | itral     | KYC     | Reg    | istry    | thro    | ugh   | SI       | ИS        | / Er     | na     | il o        | n th   | ne a           | bov        | e re     | gi     | ster | ed      |
| mobile number /                     | ema          | ail ad  | dre  | SS.     |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| Subject to applic                   |              |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| regulators or tax                   |              |   |      |         |         |        |          | l a   | lso       | decla   | re a   | nd co    | nfirn   | n tha | t t      | he        | FAT      | C      | A/C         | RS     | det            | ails       | give     | en     | as p | er      |
| point no-5 above                    |              |   |      |         |         | - T    |          | _     |           |         |        |          |         | 3     |          |           |          |        |             | i      |                |            |          |        |      |         |
| further confirm th                  | at I         | nave  | rea  | id and  | unde    | erstar | id the   | ) F   | AIC       | A/CF    | 15 te  | erms a   | and o   | ond   | ITIC     | ons       | anc      | ın     | ere         | оу а   | 3CC            | ept 1      | tne :    | sar    | ne.  |         |
| Date: DDD                           | VI I         | VI  | Υ    | YY      | Y       |        | 1        | n/    | 21        |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| Place:                              |              |   |      |         |         |        | <i>y</i> | L/( 4 | ۷)        |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| <del>02 03 0300 000</del>           |              | 400   |      | -7.0    | 70      | -00 11 |          |       |           |         |        |          |         | 20 5  | Sia      | nat       | ure      | 11     | Ann         | lica   | nt             |            |          |        |      |         |
| Note : Separate I                   | KYO          | to b  | e de | one fo  | or eac  | n clie | nt in o  | cas   | se o      | f more  | e the  | en one   |         |       | _        | 201001000 | COMPANIE | S 11.0 | 100.00      | 000000 | SCOOLINE.      | our        | nt or    | Iv)    |      |         |
|                                     |              |   |      |         |         |        |          |       |           | USE     |        |          |         |       |          |           |          |        |             |        |                | D.W. CHINE | a marina | ,,,    |      |         |
| Detalis of Employee                 | /AP          | ,   | Do   | cume    | nts V   | erifie | d        |       | Clie      | nt Int  | ervi   | ewed     | by 8    | k IN- |          |           |          |        |             |        |                |            |          |        |      |         |
| Authorized Signa                    |              |   | 1    |         | Origin  |        | -        | - 1   |           |         |        | cation   | - 8     |       |          |           | 1        | a      |             |        | Seci<br>e - II |            | es L     | im     | ited |         |
| Name                                |              | 1   |      |         |         |        |          |       |           |         |        | -115-116 |         |       |          |           |          |        | -           | Jue    | <i>y</i> - 11  | 102        | .42      |        |      |         |
|                                     |              | _   |      |         |         |        |          | +     |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |
| Designation/Code                    | )            |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        | Low         | n 0    | f th           | e Inf      | erm      | eď     | ary  |         |
| Date                                |              |   | , E  | DD / I  | /M/     | YYY    |          |       |           | DD./    | MM     | / YY)    | ſΥ      |       |          | S         | eal      | 5      | (dill       | 10.00  |                |            |          |        |      |         |
| Signature                           |              |   |      |         |         |        |          |       |           |         |        |          |         |       |          |           |          |        |             |        |                |            |          |        |      |         |



#### **FARSIGHT SECURITIES LIMITED**

|     |     | ITIONAL DE                |                             |                | - Committee ( | SDL /             |             |        | DSL     |         |        |            | ADI    | and the state of the same |       |       | n Sanchar |        |               |       |      |          |       |        |        |      |        |
|-----|-----|---------------------------|-----------------------------|----------------|---------------|-------------------|-------------|--------|---------|---------|--------|------------|--------|---------------------------|-------|-------|-----------|--------|---------------|-------|------|----------|-------|--------|--------|------|--------|
|     |     | Ve request yo             | 100                         |                |               |                   |             |        |         |         |        |            |        | our                       | name  | e as  | per       | the f  | ollow         | ing o | deta | ails:    |       |        |        |      |        |
| 5.1 |     |                           |                             | 10000 100 0000 |               |                   |             | Dem    | at A    | 0       | ant    |            | 4-7-   | 1000000                   |       |       |           | T      |               |       |      | 0000000  |       | e iniv |        |      |        |
|     | _   | ount Holder(s)            |                             | Sole /         | First         | Hold              | ler         |        |         |         |        | Se         | con    | d Ho                      | older |       |           | -      | _             |       |      | Thir     | d H   | old    | er     |      |        |
|     | Na  | ıme                       |                             |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        | _    |        |
|     | PA  | N                         |                             |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     | UII | D (Aadhaar)               |                             |                | П             |                   |             |        |         |         | T      | П          |        |                           | П     |       | Τ'_       |        | П             | T     | П    |          | 1     | Т      | П      | Т    | TT     |
| 10  | BA  | NK DETAILS                | S                           |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       | Н    |          |       |        |        | -    |        |
|     | De  | fault Bank (              | Throug                      | h whicl        | h pa          | yout              | tran        | sacti  | ons     | wou     | ıld (  | gene       | erally | y be                      | rout  | ed)   | į.        |        |               |       |      |          |       |        |        |      |        |
|     | 1.  | Bank Accou                | unt type                    | )              |               | Savin             | gs [        | ] Ci   | urrer   | nt      |        | VRI.       | / NR   | RE/ N                     | NRO   |       | Otl       | hers   | (Ple          | ase   | sp   | ecify    | /)    |        |        |      |        |
|     | 2.  | Bank Accou                | ınt No.                     |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     | 3.  | Bank Name                 |                             |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     |     | 4,000,000,000,000,000,000 |                             |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     | 4.  | Bank Addre                | ess                         |                | $\vdash$      |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               | PIN   | I C  | ode      |       |        |        | Т    | $\top$ |
|     | 5   | MI CR Code                | 9                           |                | Н             | T                 |             |        |         |         |        |            | 6.     |                           | IF S  | C C   | aha       |        |               | T     | Т    |          | _     |        |        | +    |        |
|     | 0.  | Proof of Ba               |                             |                | H             | Bank              | Sto         | tomo   | n+#     | _       | 1      | ana        | -      |                           |       |       |           |        | Nar           | 20.0  | nd   | Λ/0      | No    | L Dr   | into   | 4/   |        |
|     |     | Submitted                 | III                         |                |               | Bank              |             |        |         | -       | -      |            |        |                           |       |       |           |        | inal          |       | Hu   | A/C      | IVC   | . pi   | inte   | ۱)   |        |
|     | Ac  | dditional Bar             | nk                          |                |               | Danik             | 1 00        | 0000   | 101111  |         |        | O. III     | 7 011  | iiou.                     |       |       | (0)       | Ong    | jiriai        |       |      |          |       |        |        |      |        |
|     | 1.  | Bank Accou                | ınt type                    | )              | Ь             | Savin             | gs [        | _ c    | urre    | nt      |        | NRI        | / NF   | RE/                       | NRC   |       | Ot        | ther   | s (Ple        | ease  | S    | peci     | fy)   |        |        |      |        |
|     |     | Bank Accou                |                             |                | П             | T                 |             |        |         |         | П      |            | T      | П                         |       |       | Т         |        |               | Τ     | Т    | T        |       |        | П      | T    |        |
|     | 3.  | Bank Name                 |                             |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     | 4.  | Bank Addre                | SS                          |                |               |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     |     |                           |                             |                | -             |                   |             |        |         |         |        |            |        |                           |       |       |           |        |               | PIN   | C    | ode      |       |        |        | Т    |        |
|     | 5   | MI CR Code                |                             |                | $\vdash$      | T                 |             |        | T       |         |        |            | 6.     | Т                         | IF S  | C C   | ode       | - T    |               |       | Т    | T        |       |        | +      | +    | -      |
|     | Ŭ.  | Proof of Ba               |                             |                | H             | Ban               | k St        | atem   | entt    | -       | 7.0    | ance       | 500    |                           |       |       |           |        | Nar           | ne a  | nd   | A/c      | No    | pr     | inter  | 47   |        |
|     |     | Submitted                 | nĸ                          |                | H             | Bank              |             |        |         | -       |        |            |        |                           |       |       |           |        | inal          |       | IIu  | A/C      | INC   | . pi   | iiitet | 4)   |        |
| 11. | DE  | POSITORY                  | ACCOU                       | NT(S)          | DET           | AILS              | 7.510.24024 |        |         |         |        |            |        |                           |       |       | ,         |        |               |       |      |          |       |        |        |      |        |
|     | Dep | ository Name              | [                           | DP Name        | Э             |                   | П           | DP II  | D       |         |        |            | Bene   | eficia                    | ry Na | ame   |           |        |               |       |      | Bene     | efici | ary    | ID (B  | O ID | ))     |
|     |     | NSDL                      | Farsigh                     | nt Secu        | rities        | s Ltd             |             | N301   |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
|     |     | CDSL                      |                             |                |               |                   |             | 2056   | 300     |         |        |            |        |                           |       |       |           |        |               |       | -    |          |       |        |        |      |        |
|     | 0.7 | ILIEDO DETA               |                             | ••             | 0.00000       | Pre-200           | ,           |        |         |         |        |            |        |                           |       |       |           |        |               |       |      |          |       |        |        |      |        |
| 12. | _   | THERS DETA                | 100                         |                |               |                   |             |        |         |         |        |            |        |                           | _     |       |           |        |               | 45.1  |      | _        |       |        |        |      |        |
| .   | 1.  | Gross Annu                | iai Inco                    | me Det         | alls          | H.                | Belo        | w 1    | Lac     | Ш       | 1      | - 5 L      | ac     | Ш                         | 5 - 1 | 0 L   | ac        |        | 10 -          | 15 L  | .ac  | <u> </u> | Mo    | ore '  | than   | 25   | 5 Lac  |
|     |     | Networth (0               | Optiona                     | I)             |               | An                | nour        | t(`)_  | 100 100 |         |        |            |        |                           |       |       |           |        | on (          | Date  | )[   | DE       | 0 10  | d h    | l Y    | Y    | YY     |
|     |     |                           | 37.00                       |                |               |                   |             |        |         |         |        |            |        | t be c                    | older | thar  | 1 1 Y     | ear)   |               |       | _    | -        |       | -      |        | -    |        |
|     | 2.  | Type of Acc<br>Status     | count (F                    | Please t       | tick          | as Ap             | plic        | able)  | (Fo     | r De    | ma     | t A/       |        | ly)<br>o -St              | atus  |       |           |        |               |       |      |          |       |        |        |      |        |
|     |     | Individua                 | al                          |                | - Ir          | ndivid            | lual l      | Resid  | lent    |         |        |            | Our    | - 00                      | acu   | _     |           | In     | divid         | ual D | ire  | ctor     |       |        |        |      |        |
|     |     |                           |                             |                | □ Ir          | ndivid            | lual        |        |         |         | lativ  | e In       | divid  | lual                      |       |       |           | -      | divid         | ual H | IUF  | / AC     | P     |        |        |      |        |
|     |     |                           |                             |                | -             | romo              |             |        | :- T    | and the | /      |            |        | NITO                      |       |       |           | -      | inor<br>thers | lone  | oif  | ٨        |       |        |        |      |        |
|     |     | □ NRI                     |                             |                |               | ndivid<br>IRI Re  |             |        |         | adii    | ig A   | 4/0        | IVIA   | INIH                      | (A)   |       |           | 4      | RING          |       |      |          | le    | _      |        |      | _      |
|     |     |                           |                             |                |               | IRI Re            | epat        | riable | Pro     |         |        |            |        |                           |       |       |           | N      | RI No         | n -R  | ера  | atriat   |       | ron    | noter  |      |        |
|     |     |                           | Nation.                     |                | -             | IRI - [           | :_          |        |         |         |        |            |        |                           | -     |       |           |        | thers         |       |      |          |       | !#     |        |      | _      |
|     | 3   | Foreign Please tick,      |                             |                |               | oreig             | 56.50 700   | _      |         | _       | 100.00 | file er ve |        | 11/02/11/15               |       |       |           |        |               |       |      | hers     |       |        |        | _    | Non    |
|     | O.  | (If PEP/ RP               |                             |                |               | Politica<br>ase r |             |        |         |         |        |            |        |                           |       | oa    | Polit     | ically | / Exp         | oseo  | I PE | erson    | (RI   | EP     | )      | _    | TIMOU  |
|     | 4   | Educationa                |                             |                | P.0           | -                 | 12-2        | ler G  | 7.0     |         | _      |            | adua   |                           | 7.77  | ost   | Gra       | dua    | te            |       | Pr   | ofes     | sio   | nal    |        |      |        |
|     |     | Any Other I               |                             |                |               | -                 | 0.10        | . J. U |         |         |        | u u        | auud   |                           | Ц,    | JUL   | J. a      | uu     |               |       |      | 2,00     | _,,   |        |        |      |        |
| 13. |     | r Association             | THE RESERVE THE PROPERTY OF |                | (AC           | )P). F            | arti        | nersi  | nip F   | irm     | . U    | nrec       | iste   | red                       | Tru   | st. e | etc.      | , alt  | hou           | ah ti | he   | DP       | aco   | cou    | nt is  | 3    |        |
| .5. | ор  | ened in the               | name                        | of the         | nati          | ır pe             | rsor        | s, th  | e na    | ame     | 8      | PAN        | of     |                           |       |       |           |        |               |       |      |          |       |        |        |      | p      |
|     |     | m, Unregist               | tered T                     | rust, e        | tc.,          | shou              | ld b        | e me   | entic   | nec     | d be   | elow       | :      |                           |       |       |           |        |               | -     | -02  |          |       |        |        | -    | 1      |
|     | a)  | Name                      |                             |                |               |                   |             |        |         |         |        |            |        |                           |       | b) F  | PAN       | l.     |               |       |      |          |       |        |        |      |        |



#### **FARSIGHT SECURITIES LIMITED**

| 14                      | IN                    | CASE OF NRI'S / FOREIG  | IANOITAN N  | LS  |   |                            |                                 |                                   |
|-------------------------|-----------------------|---|---|---|---|----------------------------|---------------------------------|-----------------------------------|
|                         | RI                    | 31 Approval Reference Number  | er  |   | RBI Approval (Date  | ) D E                      | N M                             | YYY                               |
| 15                      | A                     | ADHAR AUTHENTICATION  | CONSENT   |   |   |                            |                                 |                                   |
| aut<br>my<br>aut<br>sha | he<br>/ou<br>he<br>re | ntication system through<br>ur consent to provide my<br>ntication. I/we also auth<br>the authentication det | NSDL e-Go<br>Aadhaar No<br>orize NSDL<br>ails with Fa | bjection in authenticating vernance Infrastructure Lumber, OTP, Demographice-Governance Infrastructursight Securities Limited nes, which shall not be fur | imited / CDSL Ver<br>c details or Biome<br>ure Limited / CDS<br>I for the purpose | ntures<br>tric fo<br>SL Ve | Limited<br>or Aadha<br>ntures L | and give<br>ar based<br>imited to |
| 16                      | S                     | STANDING INSTRUCTION  |   |   |   |                            | Yes                             | No                                |
|                         | 1.                    | I/We authorise you to receive   | e credits auton                                       | natically into my/our DP accou  | int.  |                            |                                 |                                   |
|                         |                       | Account to be operated thro   |   | 7. 7. 27  |   |                            |                                 |                                   |
| Ī                       | 3.                    |   | 교육 (이 교통) () 등에 보이지를 하는 다른 것.                         | ving Power of Attorney (POA).   | Ensure that   |                            |                                 |                                   |
|                         |                       | the mobile number is provide  | generalismo il repetat a periodi il commente di<br>La | Application Form]   |   |                            |                                 |                                   |
|                         |                       | Sr. No.   | Holder  |   |   |                            |                                 |                                   |
|                         |                       | a)<br>b)  |   | rst Holder  |   |                            |                                 |                                   |
|                         |                       | c)  | Second  | MANAGATE COM  |   |                            |                                 |                                   |
|                         |                       |   | Third Ho  |   | Martin  |                            |                                 |                                   |
| -                       |                       |   |   | ount for Auto Pledge confirma   | tion.   |                            |                                 |                                   |
|                         |                       | I wish to avail facility for Inter  |   |   |   |                            |                                 |                                   |
|                         |                       | I/We would like to share the  |   |   |   |                            |                                 |                                   |
|                         | 7.                    | Mode of Receiving Contract  | 55  |   | Physical Form   |                            | ronic For                       |                                   |
|                         | 3307                  | Reports, Standard KYC Doc   |   |   |   | provided                   | in KYC Application              | n Form)                           |
|                         | -                     | I/We would like to receive  |   |   | Physical Form   |                            | ronic For                       |                                   |
|                         | 9.                    | Owner & Depository Participan<br>Exchanges D. Unique Form Ris   | ts, C. Right & O<br>k Disclosure Do                   | ations of Stock Broker, Sub-Brok<br>bligations of members, AP and cl<br>cuments, E. Guidance Note Deta<br>ns Uniform Risk Disclosure & Guid               | ients as prescribed by S<br>iling Do's & Don't for Tra                            | SEBI an                    | d Commod                        | ity                               |
|                         | 10.                   | INFORMATION FOR PREVENTI  | ON OF MONEY   | LAUNDERING ACT, 2002  |   |                            |                                 |                                   |
|                         |                       | Gross Annual Income Details   | ☐ Below `1  | Lac [ `1-5 Lac [ `5-1   | 0 Lac 🗌 ` 10 - 25 La  | ic $\square$ N             | ore than                        | ` 25 Lac                          |
|                         |                       | OR Networth   | 35 50 7   | Networth should not be older than   |   |                            |                                 |                                   |
|                         |                       | Occupation (Please tick)  | Govt Servic Retired (Pls Specify                      | Agriculturist Housewife   |   | ublic Se<br>thers          | ector                           | Business                          |
|                         |                       | Nature of Business  | Manufactur  | ring Services Trading   | Consultancy   |                            | Others (PIs                     | Specify)                          |
|                         |                       | Client Category Commerci  | al Participant  | ☐ Value Chain Participant   | Exporter  | mporte                     | r 🗆                             | Hedger                            |
|                         |                       | Non Commercial Participal   |   | Financial Participant   | ☐ Trader  |                            | Arbitrager                      |                                   |
|                         |                       | Is the Client Politically Exp<br>(PEP)or Related to a PEP   | osed Person   | PEP Related to  | PEP   | Not a P                    | EP / RPEP                       |                                   |



| 17    |      | ADDITIONA                 | L DETA       | ILS           |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
|-------|------|---------------------------|--------------|---------------|-------|----------|-----------|---------|----------|-------|--------------------------------------|----------------------------------|---------------------|--------------------------|------|
|       | ٠    | Number of y               |              |               |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
|       | ٠    | Employee of               | Farsight     | Grou          | p/Ot  | her Br   | oker/     | Exch    | ange     |       | Yes ☐ No, If Yes                     | provide de                       | tails               |                          |      |
|       | ٠    | Relative of a             | n Employe    | ee of         | Farsi | ight G   | roup/     | other   | Broker   | r/Ex  | change N                             | es∏ No, if                       | yes provide detai   | ls                       |      |
|       | ٠    | Any other in              | formation    | 98            |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
| 18    |      | GST DETA                  | ILS          |               |       |          |           |         |          |       |                                      |                                  | -14                 |                          |      |
|       | ٠    | GST Number                | er           |               |       |          |           |         |          | • G   | ST Implementati                      | on Location                      |                     |                          |      |
| 19    |      | TRADING F                 | REFERE       | NCI           | ES    | X        | 20.       | -12 10. |          |       |                                      |                                  |                     |                          |      |
|       | Р    | lease sign ir             | the rele     | evan          | t bo  | xes w    | here      | you     | wish t   | o tr  | ade. Please str                      | ike off the                      | segment not ch      | osen by yo               | ou.  |
|       | Е    | xchanges                  |              | N             | SE, E | BSE &    | MSE       | I       |          |       |                                      |                                  | MCX, NC             | EX, BSE &                | NSE  |
|       |      | II Segments               |              | Ca            | ash/N | /lutual  | Fund      |         | F&0      | 0     | Currency                             | Deb                              | Commod              | lity                     |      |
|       | A.   | (3)                       |              |               |       |          |           |         |          |       |                                      |                                  | Derivativ           | res                      |      |
|       | lf   | you do not wi             | sh to trad   | e in a        | ny of | segm     | ents /    | Mutu    | ual Fun  | d, pl | ease mention her                     | е                                |                     |                          |      |
| 20.   |      | PAST ACTI                 | ONS          |               |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
|       | •    |                           |              |               |       |          |           |         |          |       |                                      |                                  | other authority ag  |                          |      |
|       |      |                           |              |               |       |          |           |         |          |       |                                      | ed persons i                     | n charge of dealin  | g in securitie           | es   |
|       |      | during the la             | ast 3 year   | 'S:[]         | No [  | Yes      | If Ye     | s, Ple  | ease Sp  | peci  | fy Details                           |                                  |                     |                          |      |
| 21.   |      | DEALINGS                  | THROUG       | SH C          | THE   | RST      | OCK       | BRC     | KFRS     | 3     |                                      |                                  |                     |                          |      |
|       | ٠    |                           |              |               |       |          | A THE WAY |         |          |       | aling with multipl                   | e stock brol                     | cers, provide deta  | ils of all)              |      |
|       |      | Stock Brok                |              |               |       |          |           | •       |          |       |                                      |                                  |                     | a compressor and a first |      |
|       |      | Client Code               |              |               |       |          | E         | xcha    | nge:     |       |                                      |                                  |                     |                          |      |
| 9     |      | Details of d              |              |               |       |          | - 1       |         |          |       |                                      |                                  |                     |                          |      |
| 22.   | IN   | ITRODUCE                  |              |               |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
|       |      | Name of the               | att to track | 7.77          |       |          | -,        |         |          |       |                                      |                                  |                     |                          |      |
|       | ٠    | Status of the             | Introduc     | er            |       | AP [     | Fxis      | tina (  | Client   |       | Employee C                           | ther, please                     | especify            |                          |      |
|       |      | Address of t              |              |               | Ш.    |          | JEXIO     | ung c   | Jilont   |       | Trubio) o o                          |                                  |                     |                          |      |
|       |      | Phone No. o               |              |               | er –  |          |           |         |          |       | Signature of th                      | e Introducei                     | 10.                 |                          |      |
| 23.   |      | ONLY FOR                  |              | 1,000,000,000 |       | IENT     | 9         |         |          |       |                                      | edit ministra editur. editoresmi |                     |                          |      |
|       |      | erts Facility             | Mobile I     | -             | +     | 9        | 1         | T       | T-       |       |                                      |                                  |                     |                          |      |
|       |      | to Terms &                | tesamo m     |               | 227   |          | 19000     | _       |          |       | /==:::                               |                                  |                     |                          |      |
|       |      | ons given as<br>exure 2.4 |              |               |       |          | _         |         |          |       | rney ( POA)]<br>vail of this facilit | y, cancel th                     | is option).         | □Yes [                   | □No  |
| ,     | ran  | sactions                  |              |               |       |          |           | 2.0     |          |       | bile number regis                    |                                  |                     | □Yes [                   | □No  |
|       |      | Jsing                     |              |               |       | _        |           |         |          | _     | nditions prescrib                    | 1.5%                             |                     |                          |      |
|       |      | ed Texting                | I/We wish    | to re         | giste | r the fo | llowin    | g clea  | aring me | embe  | er IDs under my/ou                   | r below ment                     | ioned BO ID registe | red for TRUS             | ST . |
|       |      | y (TRUST)<br>to Terms     | Stock        | Excl          | nang  | e Nam    | ne / ID   | )       | Cle      | arin  | g Member Name                        | CI                               | earing Member ID    | (Optional)               |      |
| 20072 |      | onditions                 |              |               |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
| Ar    | ine  | xure - 2.6                |              |               |       |          |           |         |          |       |                                      |                                  |                     |                          |      |
|       | 6    | asi                       |              |               |       |          |           |         |          |       | : www.cdslindia<br>transactions and  |                                  | e portfolio online. | □Yes [                   | □No  |
| Pla   | ce:  |                           | 1            |               |       |          |           |         |          |       |                                      |                                  | Date:               |                          |      |
|       |      |                           | ED(          | 4)            | Only  | for C    | DSL [     | OP CI   | ient     | On    | ly for CDSL DP C                     | lient                            | Only for CDSI       | . DP Client              |      |
| (On   | ly f | or CDSL DP (              | Client)      |               | S     | ignatu   | re of     | Clien   | it       |       | First Holder                         |                                  | Second F            | lolder                   |      |



|        | I/We wish to make a Nomination   | ☐ Demat                  |         | e do not wish to make a non        |                          |
|--------|--|--------------------------|---------|------------------------------------|--------------------------|
|        | [As per details given below]   | ☐ Trading                | [St     | rike off the nomination detail     | s below] Trading         |
|        | omination Details We wish to make a nomination and do                            | hereby nominate the fo   | llowing | nerson(s) who shall receive all    | securities/Funds held in |
|        | depository / Trading account by me/  |                          |         |                                    |                          |
| No     | omination Registrations No.  |                          |         | Date                               | DDMMYYYY                 |
|        | Nomination can be made upto  | Details of 1st Nomi      | inee    | Details of 2nd Nominee             | Details of 3rd Nominee   |
| 1.     | Name of the nominee(s) (Mr./Ms.)   |                          |         |                                    |                          |
| 2.     |  | %                        |         | %                                  | %                        |
| ۷.     | nominee   Equally   [If not equally, please specify percentage]                  | 70,700                   | ion sha | Il be transferred to the first non | 0.54.44                  |
| 3.     | Relationship with the Applicant (If any)   |                          |         |                                    |                          |
| 4.     | Address of Nominee(s)  |                          |         |                                    |                          |
|        | City/Place:  |                          |         |                                    |                          |
|        | State & Country  | /                        |         |                                    |                          |
|        | Pin Code   |                          |         |                                    |                          |
| 5.     | Mobile/Telephone No. of Nominee(s)#  |                          |         |                                    |                          |
| 6.     | Email ID of Nominee(s)#  |                          |         |                                    |                          |
| 7.     | Nominee Identification details#  |                          |         |                                    |                          |
| 31324  | [Please tick any one of following and provide details of same]                   |                          |         |                                    |                          |
|        | Photograph & Signature PAN   |                          |         |                                    |                          |
|        | Aadhaar Saving Bank Account  |                          |         |                                    |                          |
|        | Demat Account ID   |                          |         |                                    |                          |
|        | Sr. Nos. 8-14 should be filled only  | if Nominee(s) is a mino  | or:     |                                    |                          |
| 8.     | Date of Birth {in case of minor Nominee(s)}                                      | DDMMYY                   | YY      | DDMMYYYY                           | DDMMYYYY                 |
| 9.     | Name of Guardian (Mr./Ms.) {in cas of minor Nominee(s)}                          | e                        |         |                                    |                          |
| 10.    | Address of Guardian(s)   |                          |         |                                    |                          |
|        | City/Place:  |                          |         |                                    |                          |
|        | State & Country  | /                        |         |                                    |                          |
|        | Pin Code   |                          |         |                                    |                          |
| 11.    | Mobile/Telephone No. of Guardian   | <b>‡</b>                 |         |                                    | 11                       |
| 12.    | Email ID of Guardian#  |                          |         |                                    |                          |
| 13.    | Relationship of Guardian with Nominee  |                          |         |                                    |                          |
| 14.    | Guardian Identification details#   |                          |         |                                    |                          |
| .20,40 | [Please tick any one of following an provide details of same]                    | d                        |         |                                    |                          |
|        | Photograph & Signature PAN   |                          |         |                                    |                          |
|        | Aadhaar Saving Bank Account  |                          |         |                                    |                          |
|        | Demat Account ID   |                          |         |                                    |                          |
|        |  | Name(s)                  | of hold | ler(s)                             | Signature(s) of holder*  |
| Clie   | ent (Mr./Ms.)  |                          |         |                                    | £1) (5)                  |
| Firs   | st Holder (Mr./Ms.)  |                          |         |                                    | <b>L</b>                 |
| Sec    | cond Holder (Mr./Ms.)  |                          |         |                                    | <b>E</b>                 |
|        | gnature of witness, along with name a<br>ptional Fields (Information required at |                          |         |                                    | 7-0                      |
| Not    | e: This nomination shall supersede ar  | y prior nomination made  | by the  | account holder(s), if any.         |                          |
| The    | Trading Member / Depository Partici  | pant shall provide ackno | wledge  | ment of the nomination form to     | the account holder(s)    |



## Declaration form for opting out of nomination

| To,  |  |   |   |                               |                         | DATE        |                         |               |                |                 |      |
|--|--|---|---|-------------------------------|-------------------------|-------------|-------------------------|---------------|----------------|-----------------|------|
| M/S. FARSIGHT SECU<br>17A/55, Triveni Plaza,<br>Karol Bagh, New Delhi<br>Ph.: +91-11- 45044439<br>Website: www.farsight          | 3rd Floor Gu<br>-110005,<br>),41                 | rudwara Ro                                  | oad,                                      |                               |                         |             |                         |               |                |                 | -74  |
| UCC/DP ID  |  |   |   |                               |                         |             |                         |               |                |                 |      |
| Client ID (only for Dema   | at account)                                      |   |   |                               |                         |             |                         |               |                |                 |      |
| Sole/First Holder Name   | е  |   |   |                               |                         |             |                         |               |                |                 |      |
| Second Holder Name   |  |   |   |                               |                         |             |                         |               |                |                 |      |
| Third Holder Name  |  |   |   |                               |                         |             |                         |               |                |                 |      |
| I / We hereby confirm the the issues involved in no my / our legal heirs wou trading / demat account value of assets held in the | on-appointme<br>ld need to sul<br>t, which may a | nt of nomin<br>bmit all the<br>also include | ee(s) and fu<br>requisite do<br>documents | urther are av<br>ocuments / i | vare that in nformation | case of dea | ath of all<br>g of asse | the acts held | count<br>in my | nolder<br>/ our | (s), |
|  | 9:   |   | Name(                                     | s) of holder                  | (s)                     |             |                         | Signati       | ıre(s)         | of hol          | der* |
| Client (Mr./Ms.)   |  |   |   |                               |                         |             | Æ                       | D(6)_         |                |                 |      |
| First Holder (Mr./Ms.)   |  |   |   |                               |                         |             | Æ                       | _ מ           |                |                 |      |
| Second Holder<br>(Mr./Ms.)   |  |   |   |                               |                         |             | d                       | n             |                |                 |      |



#### Declaration

The rules and regulations of the Depository and Depository participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it. In case of nonresident account, I/we also declare that I/we have comp lied and will continue to comply with FEMA regulations. I/we further confirm having read/been explained and understood the contents of Right and obligation of the beneficial owner and depository participant/stock brokers & clients, risk Disclosure document, Policies & procedures, schedule of charges/Tariff sheet and acknowledge the receipt of copy of the same. I/we do hereby agree to be bound by such provision as outlined in these documents. I/we have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

|   | Name(s) of holder(s) | Signature(s) of holder |                |
|---|----------------------|------------------------|----------------|
| Sole / First Holder /<br>Guardian (in case sole<br>holder is minor) |                      | <b>L</b> D (5)         | used           |
| Second Holder   |                      | <b>L</b> o             | to be<br>captu |
| Third Holder  |                      | <b>L</b> D             | Sign           |

#### Notes:

- 1. All communication shall be sent at the address of the Sole / First holder only.
- 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination are as below:
- I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- VIII. Nomination can be made up to three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total up to hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- IX. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- XI. DP ID and client ID shall be provided where demat details is required to be provided.
- 4. For receiving Statement of Account in electronic Form:

  (i) Client must ensure the confidentiality of Password of the email account. (ii) Client must promptly inform the participant if the email address has changed. (iii) Client may opt to terminate this facility by giving 10 days prior notice. Similarly participant may terminate this facility by giving 10 days prior notice.
- 5. Strike off whichever is not applicable.



#### **POLICIES & PROCEDURES**

(AS PER SEBI CIRCULAR NO. MIRSD/SE/CIR-19/2009 DATED 3 DEC, 2009)

MANDATORY

#### 1. Refusal of orders For Penny Stock(s):

Farsight shall have the absolute discretion to accept, refuse or partially accept any buy or sell order for execution from a client in respect of penny stock, illiquid stocks having low liquidity, illiquid "options", far month "option" writing of "options", stocks in GSM/Z, T, TS, S, B, BE, IL, BT, EQ Category and any other contracts which as per the perception of stock Broker are extremely volatile or subject to market manipulation.

#### 2. Setting Up Client's Exposure Limits:

The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange or Clearing Corporation or SEBI from time to time. The client is aware and agrees that the stock broker may need to vary or reduce or impose new limits urgently on the basis of the stock broker's risk perception, risk profile of the client and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/ SEBI directions/limits (such as broker level/ market level limits in security specific / volume specific exposures etc.).

In case margin is kept in through new system of pledge and re-pledge of securities, Broker would value these securities after applying such haircut as it deems appropriate which would not be less than 20% in approved securities. Similarly, for providing exposure to client, Broker can re-pledge such securities in favor of clearing member/ Clearing Corporation or Bank under margin re-pledge system. Presently, the exchanges are requiring securities/ cash margin in equal ratio and total margin exposure provided by the clearing corporation is 90% of the total value. Therefore, the exposure will be allowed as permitted from time to time by clearing corporation / exchanges.

#### 3. Applicable Brokerage Rate:

Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration of the client and/or subsequently through a written communication between client and Farsight. The rate of Brokerage shall not exceed the maximum brokerage permissible under Exchange bye-laws or Rs. 20/- per contract note whichever is lower. The brokerage is exclusive of various charges payable to Exchange/SEBI/Govt. Authorities/DP/delayed payment charges, penalty arises solely because of client etc. such details are available on website.

The slab rates of brokerage fixed by FARSIGHT are function of the quality and cost of services provided to the client and the volume and revenue expected from an account. It shall be reviewed by the FARSIGHT from time to time and may be increased with prospective effect at a notice of 15 Days sent to the E-mail address or postal address of the client registered with FARSIGHT.

## 4. Imposition of penalty/delayed payment charges by either party, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws)

As per prevalent regulations, no shortage in upfront margin / peak margin is allowed. However, there may be cases where shortage in margin arises because of visions solely attributed to clients. In such case, penalty levied by the exchanges will be debited to the client. In addition, the interest on margin blocked of the broker by clearing corporation will be charged to the client at 21% p.a. Broker will not pay any interest on unutilized margin or balance in trading account of the clients. In case of repeated violation by client, additional penalty (as disclosed on website) will be levied by the Exchanges. All fines/penalties and charges levied upon the Client due to its acts / deeds or transactions will be recovered by the Stock Broker directly from the client's account.

## 5. The right to sell clients' securities re-pledged as margin or close clients' positions, without giving notice to the client, on account of non-payment of client's dues

FARSIGHT shall have right to sell client's securities, both unpaid as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/failure of the client to meet the pay-in obligations and /or there is a failure of the client to bring additional margin to cover the increase in risk in the dynamic market conditions.

#### a) Unpaid Securities in Capital Market:-

(i) In case any shares/securities purchased by the client remained unpaid on the date of pay in these will be transferred compulsorily to client account but marked as pledge to CUSPA account.

Farsight may follow the LIFO or average method of liquidation for sale of these securities without any further notice to the client.

#### b) The Margin Shortfall in F&Q:-

- i) Positions of the client may be closed out to the extent of margin shortfall on the T+1 basis.
- ii) While computing margin shortfall, Value of unapproved securities shall not be considered.
- iii) As per the current Exchange requirements, the member Broker is required to maintain a 50:50 ratio between cash and collaterals margin deposited with the Exchange. FARSIGHT shall therefore have the prerogative to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margins shortfall and close out the F&Q. Position wherever it finds the deviation. However, sales made in capital market segment shall not be considered. while closing F&Q Positions on T+1 basis due to margin shortfall.



#### c) Intra-day Positions:-

FARSIGHT shall have right to close out any intra-day Positions taken by the client after a defined "Cut-off" time (Presently 20 minutes before close of market).

#### d) General:-

- i) While selling the securities/ closing the clients positions, FARSIGHT may take into account the sales made by the client, Positions closed by the client or collections received from the client till cut-off time (Presently 12.00 pm).
- **ii)** While selling the Securities/ Closing the clients positions, FARSIGHT may not take into consideration cheques/Bank Drafts/ Pay orders deposited by the client with FARSIGHT until clear proceeds of such instruments are received by FARSIGHT in its bank account.
- iii) FARSIGHT shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. FARSIGHT shall therefore not be under any obligation to compensate/or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client.
- iv) The client would make good the short fall, if any post liquidation, immediately. But refund such excess to clients, if so demanded by clients, by next working day.

#### 6. Shortages in obligations arising out of internal netting of trades:-

The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- a) The securities delivered short are purchased from market in code of defaulting clients on T+1 day which is the Auction Day on Exchange, and the purchase consideration (inclusive of all statutory taxes, levies and penalty) is debited to the short delivering seller client.
- b) If securities cannot be purchased from market due to any reason, the short delivering seller is debited at the square up rate as informed by the NSE on T+1 day basis and buyer will be given credit. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/ credits shall be as per Exchange Debits and Credits after deducting exchange penalties.
- c) In case of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure / record date, would be compulsory closed out at settlement price by the exchange.

#### Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

The stock broker may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin / securities or the order being outside the limits set by stock broker/exchange/SEBI and any other reasons which the stock broker may deem appropriate in the circumstances. The stock broker is entitled to disable / freeze the account or trading facility / any other service if, in the opinion of the stock broker, the client has acted in contradiction of this agreement or / evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the stock broker so apprehends.

Any profit/loss arising out of these transactions shall be at the risk of and borne by the client.

#### 8. Temporarily suspending / deregistering or closing a client's account at the client's request-

Client may instruct the member to close out the account or suspend the trading through client's account for the period as specified in the request in written and duly signed by him. The stock broker can with-hold the payouts of client and suspend his trading account due to his surveillance action or judicial or / and regulatory order/action requiring client suspension.

FARSIGHT may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances:-

- Where the client is inactive for a period as specified by the exchanges from time to time. The account can be reactivated and can be allowed trading once by compliance requirement and fresh c-KYC is done.
- Physical contract notes are received back undelivered due to reasons like "no such person", "addressee left", refusal
  to accept mails, POD's signed by the third persons, signature mismatch on POD's or other reasons which may create
  suspicion.
- ECN failed (Bounced email) on more than 3 instances until client submits a valid explanation.
- · Client can also send an email from designated mail id for reactive request.

#### Client Acceptance of Policies and Procedures stated hereinabove:

I/We have fully understood the above mentioned policies and procedures and do here by signify my consent for the same.

| SIGNATURES 🏝 |  |
|--------------|--|



#### STANDARD TARIFF SHEET - TRADING

|  | CAPI   | TAL MARKET SEGMENT  |                       |
|--|--|---|-----------------------|
|  | NS   | E / BSE / MCX   |                       |
|  | in %age term   | ns  | Minimum ` (per share) |
| Intraday   | 0.03   |   | 3 Paisa               |
| Delivery   | 0.3  |   | 5 Paisa               |
| Trade to Trade   | 0.3  |   | 5 Paisa               |
| GSM Other<br>Categories  | 0.5  | 10 Paisa  |                       |
|  | FUTUR  | ES & OPTIONS SEGMENT  |                       |
|  |  | FUTURES   | OPTIONS `             |
|  | in %age terms  | Minimum ` (per lot)   | Per Lot               |
| NSE  | 0.02   | 30 INR  | 30 INR                |
| BSE  | 0.02   | 30 INR  | 30 INR                |
| мсх  | 0.02   | 30 INR  | 30 INR                |
|  | CURRENCY   | DERIVATIVES SEGMENT   | o to                  |
|  | in %age terms  | Minimum ` (per lot)   | ` Per Lot             |
| NSE  | 0.02   | 30 INR  | 30 INR                |
| BSE  | 0.02   | 30 INR  | 30 INR                |
| мсх  | 0.02   | 30 INR  | 30 INR                |
|  | 0.02   | 30 INR  | 30 INR                |
| MUTUAL FUNDS SEC   |  | 307   | 0000                  |
|  | N  | ISE / BSE / ETF   |                       |
|  | in %age terms  | Mi  | nimum` (per unit)     |
|  | 0.3  |   | 3 Paisa               |
| The second secon | on the control of the | Duty, SEBI Fee, Other Govt. Levie   |                       |
|  | maximum – NIL subject to volum<br>'early – NIL Year– NIL   | e. Recurring Charges : Monthly - N  | IIL                   |
| Quarterly - ME Hall 1  | carry - MIL Tour-MIL   |   |                       |
| Note: Dispatch of Co.  | ntract Note: Through Ordinary P  | ost Free. Through Courier Rs. 50/-  |                       |
| han an an an an an different and the man an an a   | ears duplicate printout Rs. 100/-  | manana ing ang taong at ang at an <del>an</del> inala-ang ant an ang at an  | ØD.                   |
| and a section of the contract  | rementer terminal in the transformation of the first of t | North Australia (New York Control of the Contr |                       |

#### CLEARING MEMBER DETAILS

**NSE Equity Derivatives** 

FARSIGHT SECURITIES LTD. - SEBI Regn. No.: INZ000169935

Regd. Office: 17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi-110005

NSE Currency Derivatives & MCX Commodity Derivative Markets SMC GLOBAL SECURITIES LTD. | SEBI Regn. No.: INZ000199438

Regd. Office: 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005



#### DP TARIFF FOR NSDL/CDSL ACCOUNT

#### **MANDATORY**

| 1.   | Account Opening                      |   |
|--|--------------------------------------|---|
| 2.   | (i) Annual Maintenance               | First Year : Rs. 3000/- including KRA, C- KYC & GST.  Subsequent Year : NIL |
| 3.   | (i.) Delivery In-coming              | NIL   |
| (ii.) Delivery Out-going (through Speed- E, POA) |                                      | `18/- per Trxn  |
| 4.   | KRA / CKYC Modification              | `100/- per modification   |
| 5.   | Dematerialisation                    | Rs. 200/-+ Rs. 100/- per certificate. Courier charges Rs.100/- + GS         |
| 6.   | Rematerialisation                    | Rs. 200/-+ Rs. 100/- per certificate. Courier charges Rs.100/- + GST        |
| 7.   | Instruction Booklet                  | Rs.50/- for 10 leaves (postage charges Rs.50/- extra)                       |
| 8.   | Pledge Creation/ Closure/ Invocation | Rs.50/- per transaction   |

#### N. B.:

- Any other services not specified above shall be charged extra.
- Rejection charges on failure of instruction `50/ per instruction shall be charged.
- Non execution of delivery instruction due to any problem / error 50/- per communication / dispatch.
- Physical DIS execution charges 10/- per slip extra.
- Charges / Services standards are subject to revision at Depository Participants discretion after giving30 days' notice.
- In case of Demat rejection postage shall be charged @ 50/for dispatch to the Client for removal of objection.
- In case of Non-Payment of the bill within 30 days from the date of bill, Interest @ 1.5% p.m. will be charged.
- The depository services are liable to be discontinued on such default. The renewal charges will be `100/-.
- Statements of accounts will be mailed by ordinary post only, at free of cost. Statements can be provided by courier with extra charges of 50/- per dispatch.
- Amount charged by NSDL/CDSL for CAS will be charge extra
- · Client Master Charge `50/- Per Request.
- Taxes and other government levies extra as applicable from time to time.

- Charges for transaction value from `5 to 20 lac `50/and transaction value above20 lac `80/- per Instruction.
- DP reserves the right not to execute the delivery instruction in case service charges are outstanding.
- Client will maintain minimum ` 200/- as an advance payment which will be adjusted against future bills.
- `10/- per page for holding / transaction / financial accounts for extra statements.
- In case the value of the holdings in the BSDA account exceeds the prescribed criteria at any point of time; the regular tariff applicable to non BSDA accounts would be charged from that date onwards.
- The Annual Maintenance Charges are levied in advance at the beginning of the billing cycle.
- Margin Pledge transactions charge `50/- per instruction will be charged in all scheme.

| • | Please Place my /our Acc | ount under Scheme |
|---|--------------------------|-------------------|
|   | A/                       | B/                |
|   | C/                       | BSDA              |

| HOLDER'S SIGNATURES | ØD. |
|---------------------|-----|
| First Holder        |     |
| Second Holder       |     |
| Third Holder        |     |



FARSIGHT SECURITIES LTD. Date:..... 17-A/55, Triveni Plaza, 3rd Floor Gurudwara Road, Karol Bagh, New Delhi-110005, Client Code:..... EAPBX: 011-4504-4444 (30 Lines) Fax: 011-45044434 Demat Client ID: ..... E-mail: contactus@farsightshares.com Sub: Letter of Authority - NSE / BSE / MCX (All Segment) I/We am/are dealing in securities with you at NSE/BSE/MCX Capital Market Segment, Derivatives Segment, Commodities Segment and Currency Segment. In order to facilitate ease of operations, we authorize you as under: **Running Account** We authorize you to settle our running account, in term of SEBI/exchange regulations or modification there of: Quarterly Monthly Telephonic Conversation & Verbal Order: I/We request you to consider my/our oral or telephonic instructions for order placing/order modification /order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you. I/We authorize you to record the telephonic conversations, if you so require. I / We understand the risk associated with verbal orders and accept the same. In case of any confusion, however the day end confirmation sent by exchange or broker will be final, if not disputed on the same day. **Electronic On-Line Trading:** Trading in exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of you and may result in delay in processing or not processing buy or sell orders either in part or in full. I agree that I shall be fully liable and responsible for any such problems glitch. The sauda or position shown by Exchange main ID - terminal or as uploaded by Exchanges in the evening in obligation file would be deemed to be final. Fines & Penalties: All fines/penalties and changes levied upon you by exchanges/regulator due to my acts / deeds or transactions may be recovered by you from my account. I/We have a trading as well as depository relationship with Farsight Group. Please debit the charges relating to depository services and courier charges for sending physical documents (including my family members) to my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account/pay adequate advance fee. further authorize Mr./Ms. S/o/D/o 6. who is my (Relation) to operate and perform necessary act in relation to this \_\_R/o\_ account such as purchase/sale signing confirmation to accept Contract Note documents from Farsight Securities Ltd. He/She is further authorized to make, receive and accept telephone calls relating to operation in this account. 7. SMS/e-mail:

- \* (The email id must be written in handwriting of the client in case of commodity trading)
- \* Strike off the clauses not relevant to you.

Thanking you,

Yours faithfully,

Client Signature



### **FATCA - CRS Declaration, Terms & Conditions**

| Please complete the following table telling us:   |  |
|---|--|
| I. Which country (ies) / jurisdiction(s) you are tax resident in; and   |  |
| II. your TIN for each country/jurisdiction indicated. If you do not have a TIN please provide the appropriate reason A, B or C:   |  |
| II. your TIN for each country/jurisdiction indicated. If you do not have a TIN please provide the appropriate reason A, B or C:   |  |
| <b>Reason A</b> The country/jurisdiction where I am liable to pay tax does not issue TINs to its residents.   |  |
| Reason B I am otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).   |  |
| <b>Reason C</b> The laws of my country/jurisdiction of tax residence do not require me to provide a TIN.  |  |
| Country/Jurisdiction of tax residence   |  |
| TIN   |  |
| If no TIN available enter Reason A, B or C  |  |
| Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above   |  |
| If you are tax resident in more than three countries / jurisdictions, please use a separate sheet and confirm by placing an 'X' in the box.   |  |
| Please confirm here by placing an `X' in this box that you have included ALL of the countries/jurisdictions in which you are tax resident.  |  |
| Are you a US person? Yes / No (Please ensure your US TIN is included above  |  |
| US person for FATCA1 purposes includes US tax residents, US citizens (even if residing outside the USA) and resident aliens of the USA.   |  |
| If the address in Part 1 is different from the country (ies) / jurisdiction(s) where you are tax resident in Part 2, you need to tell us why. The country/jurisdiction you live in (Part 1.B) is different from the country(ies)/jurisdiction(s) where you are tax resident (Part 2).   |  |
| Please place an 'X' against one of the following options:  1. I am a student studying in the country/jurisdiction in Part  1.B and have not yet lived there long enough to become tax resident.  2. I am working in the country/jurisdiction in Part 1.B and have not yet lived there long enough to become tax resident.  3. I am a diplomat or a member of the armed forces posted to the country/jurisdiction in Part 1.B.  4. I have recently moved to the country/jurisdiction that I am opening a |  |
| bank account in and I am not yet tax resident in this country/jurisdiction. I am still tax resident in the country (ies) / jurisdiction(s) in Part 2.  5. None of the above - please provide details in the space below.  |  |



a). Your country/jurisdiction of residence for tax purposes and related Taxpayer Identification Number or functional equivalent ("TIN").

#### b). Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax rules, 1962, which Rules require Indian financial institutions to seek additional personal tax an beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there by any change in any information provided by you, please ensure you advise us promptly; I.e. within 30 days. Please note that you may received more than one request for information if you have multiple relationships with Farsight Securities Ltd. Or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about you tax residency, please contact your tax advisor. If any controlling person of the entity is a U.S. Citizen or resident or green card holder, please include United States in the foreign country information held along with the US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you ar tax resident issues such as identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

#### c). Declarations

I certify that I am the account holder and I understand that the information I have provided is covered by the Privacy Notice and the terms and conditions of my account(s), in particular how it may be used and shared. I acknowledge that Farsight may share this information with the tax authorities of the country(ies)/jurisdiction(s) where I hold my account(s), and that those tax authorities may exchange this information between themselves as part of the intergovernmental agreements to exchange Financial Account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I agree to tell Farsight within 30 days of any change in circumstance that affects the tax residence status of the person named in this form, I agree to provide an updated self-certification form to Farsight within 90 days of any such changes.

| Signature | Place |
|-----------|-------|
|           |       |
| Name      | Date  |



## **Demat Debit and Pledge Instruction**

| Client Name: |  |
|--------------|--|
|              |  |

| S. No. | Purpose   | Signature of Client* |
|--------|---|----------------------|
| 1.     | Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker |                      |
| 2.     | Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.     |                      |
| 3.     | Mutual Fund transactions being executed on Stock Exchange order entry platforms   |                      |
| 4.     | Tendering shares in open offers through Stock Exchange platforms  |                      |

**Note:** This is required to be stamped as Power of Attorney and to be signed by all joint holders. This is valid for transfer of shares for transactions executed in Farsight only.

| Client Signature |  |
|------------------|--|
| Place:           |  |
| Date:            |  |



## FOR OFFICE USE ONLY

| KRA/CKYC NO  |  |   | Upload/Download  |   |  |
|--|--|---|--|---|--|
| Verified by  |  | Branch Code                                 |  |   |  |
| UCC Code allotted to the Client :.   | DP ID & CLIENT ID  |   |  |   |  |
|  | Documents ve   | rified                                      | Client Interviewed By  | In-Person Verification done by  |  |
| Name of the Employee   |  | 1510.487×50                                 |  | Santa Santa Santa Santa Santa See Carrier Section See Santa Santa See €                 |  |
| Employee Code  |  |   |  |   |  |
| Designation of the   |  |   |  |   |  |
| Employee Date  |  |   |  |   |  |
| Signature  |  |   |  |   |  |
| Account Opened by  | ;  |   |  | Date  |  |
| Exchange activated & Mapped by   | y:   |   |  | Date  |  |
| Rechecked by   | :  |   |  | Date  |  |
| I / We undertake that we had mandatory documents. I/We Guidance Note. I/We have give 'Policy and Procedures', tariff I/We also undertake that any website, if any, for the information | have also made the<br>ven/sent him a copy of<br>sheet and all the no<br>change in the 'Right | client aware of all the KYC<br>on-mandatory | of 'Rights and Obligati<br>documents. I/We under<br>documents would be | ons' document (s), RDD and ertake that any change in the duly intimated to the clients. |  |
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### FARSIGHT SECURITIES LIMITED

MEMBER NSE, BSE, MCX Depository Paritcipant: NSDL, CDSL SEBI REGN. NO .: INZ000169935 IN-DP-579-2021

DATE: 23/03/2018 31/03/2021

Compliance Officer's Details

: Pawan Joshi

E-mail Id: compliance@farsightshares.com

**CEO's Details** 

Name : Manju Maheshwari

E-mail Id: coo@farsightshares.com

Note: 1. Established in 1996 and registered with SEBI vide Certificate No.:

INB230853732 Dated-13-03-1996 For NSE

2. NSDL DP activated in 2000 vide SEBI registration No.: IN-NSDL-148-2000 in

Year 2000

17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi

For any grievance/dispute please contact FARSIGHT SECURITIES LIMITED at the above address or email id ig@farsightshares.com. In case not satisfied with the response, please contact the concerned exchange(s) at

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